## STIC Biotechnology Systems Branch

## RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number: 10/572, 189
Source: TFWP
Date Processed by STIC: 04/06/2006

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
- 2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<a href="http://www.uspto.gov/ebc/efs/downloads/documents.htm">http://www.uspto.gov/ebc/efs/downloads/documents.htm</a> , EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
  U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street,
  Alexandria. VA 22314

Revised 01/10/06

## Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 10/572, 189								
ATTN: NEW RULES CASES:	PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE								
l Wrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."								
2Invalid Line Length	The rules require that a line not exceed 72 characters in length. This includes white spaces.								
3Misaligned Amino Numbering	The numbering under each 5 <sup>th</sup> amino acid is misaligned. Do <b>not</b> use tab codes between numbers; use <b>space characters</b> , instead.								
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.								
5Variable Length	Sequence(s)contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.								
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.								
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence:  (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading)  (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown)  This sequence is intentionally skipped  Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.								
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000								
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing.  Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present.  In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.								
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence. (see item 11 below)								
Use of <220>	Sequence(s)missing the <220> "Feature" and associated numeric identifiers and responses. Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown." Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules								
12PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.								
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid								



IFWP

DATE: 04/06/2006 RAW SEQUENCE LISTING TIME: 10:45:48 PATENT APPLICATION: US/10/572,189 Input Set : A:\Sequence Listing (13111-00033-US).txt Output Set: N:\CRF4\04062006\J572189.raw 3 <110> APPLICANT: Ostermann, Kai Rodel, Gerhard 6 <120> TITLE OF INVENTION: SECRETION OF PROTEINS FROM YEASTS 8 <130> FILE REFERENCE: 13111-00033-US C--> 10 <140> CURRENT APPLICATION NUMBER: US/10/572,189 C--> 10 <141> CURRENT FILING DATE: 2006-03-15 10 <150> PRIOR APPLICATION NUMBER: PCT/EP2004/010346 11 <151> PRIOR FILING DATE: 2004-09-15 Doos Not Comply 13 <150> PRIOR APPLICATION NUMBER: DE 103 42 794.5 Corrected Diskette Needed 14 <151> PRIOR FILING DATE: 2003-09-16 16 <160> NUMBER OF SEQ ID NOS: 56 18 <170> SOFTWARE: PatentIn version 3.3 CP3-5) 20 <210> SEQ ID NO: 1 22 <211> LENGTH: 171 24 <212> TYPE: DNA 26 <213> ORGANISM: Schizosaccharomyces pombe 29 <220> FEATURE: 31 <221> NAME/KEY: CDS 33 <222> LOCATION: (1)..(171) 35 <400> SEQUENCE: 1 36 atg aag atc acc gct gtc att gcc ctt tta ttc tca ctt gct gcc 48 37 Met Lys Ile Thr Ala Val Ile Ala Leu Leu Phe Ser Leu Ala Ala Ala 40 tca cct att cca gtt gcc gat cct ggt gtg gtt tca gtt agc aag tca 96 41 Ser Pro Ile Pro Val Ala Asp Pro Gly Val Val Ser Val Ser Lys Ser 44 tat gct gat ttc ctt cgt gtt tac caa agt tgg aac act ttt gct aat 144 45 Tyr Ala Asp Phe Leu Arg Val Tyr Gln Ser Trp Asn Thr Phe Ala Asn 35 40 48 cct gat aga ccc aac ttg aaa aag cgc 171 49 Pro Asp Arg Pro Asn Leu Lys Lys Arg 50 55 53 <210> SEQ ID NO: 2 55 <211> LENGTH: 57 57 <212> TYPE: PRT 59 <213> ORGANISM: Schizosaccharomyces pombe 62 <400> SEOUENCE: 2 64 Met Lys Ile Thr Ala Val Ile Ala Leu Leu Phe Ser Leu Ala Ala Ala 10 68 Ser Pro Ile Pro Val Ala Asp Pro Gly Val Val Ser Val Ser Lys Ser 20 25

72 Tyr Ala Asp Phe Leu Arg Val Tyr Gln Ser Trp Asn Thr Phe Ala Asn

40

73 35

DATE: 04/06/2006

PATENT APPLICATION: US/10/572,189 TIME: 10:45:48 Input Set : A:\Sequence Listing (13111-00033-US).txt Output Set: N:\CRF4\04062006\J572189.raw 76 Pro Asp Arg Pro Asn Leu Lys Lys Arg 77 50 55 80 <210> SEQ ID NO: 3 82 <211> LENGTH: 60 84 <212> TYPE: DNA 86 <213> ORGANISM: Schizosaccharomyces pombe 90 <220> FEATURE: 92 <221> NAME/KEY: CDS 94 <222> LOCATION: (1)..(60) 97 <220> FEATURE: 99 <221> NAME/KEY: sig\_peptide 101 <222> LOCATION: (1)..(60) 105 <400> SEQUENCE: 3 106 atg aag atc acc gct gtc att gcc ctt tta ttc tca ctt gct gcc 48 107 Met Lys Ile Thr Ala Val Ile Ala Leu Leu Phe Ser Leu Ala Ala Ala 108 1 110 tca cct att cca 60 111 Ser Pro Ile Pro 112 20 115 <210> SEQ ID NO: 4 117 <211> LENGTH: 20 119 <212> TYPE: PRT 121 <213> ORGANISM: Schizosaccharomyces pombe 124 <400> SEQUENCE: 4 126 Met Lys Ile Thr Ala Val Ile Ala Leu Leu Phe Ser Leu Ala Ala Ala 130 Ser Pro Ile Pro 131 20 134 <210> SEQ ID NO: 5 136 <211> LENGTH: 81 138 <212> TYPE: DNA 140 <213> ORGANISM: Schizosaccharomyces pombe 143 <220> FEATURE: 145 <221> NAME/KEY: CDS 147 <222> LOCATION: (1)..(81) 150 <400> SEQUENCE: 5 151 aag toa tat got gat tto ott ogt gtt tac caa agt tgg aac act ttt 48 152 Lys Ser Tyr Ala Asp Phe Leu Arg Val Tyr Gln Ser Trp Asn Thr Phe 155 gct aat cct gat aga ccc aac ttg aaa aag cgc 81 156 Ala Asn Pro Asp Arg Pro Asn Leu Lys Lys Arg 160 <210> SEQ ID NO: 6 162 <211> LENGTH: 27 164 <212> TYPE: PRT 166 <213> ORGANISM: Schizosaccharomyces pombe 170 <400> SEQUENCE: 6

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10

RAW SEQUENCE LISTING

173 1

**RAW SEQUENCE LISTING**PATENT APPLICATION: **US/10/572,189**DATE: 04/06/2006

TIME: 10:45:48

Input Set : A:\Sequence Listing (13111-00033-US).txt

Output Set: N:\CRF4\04062006\J572189.raw

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259 tca cct att cca gtt gcc gat cct ggt gtg gtt tca gtt agc aag tca
260 Ser Pro Ile Pro Val Ala Asp Pro Gly Val Val Ser Val Ser Lys Ser
263 tat gct gat ttc ctt cgt gtt tac caa agt tgg aac act ttt gct aat
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264 Tyr Ala Asp Phe Leu Arg Val Tyr Gln Ser Trp Asn Thr Phe Ala Asn
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267 cct gat aga ccc aac ttg aaa aag cgc gaa ttc gaa gct gct ccc gca
                                                                          192
268 Pro Asp Arg Pro Asn Leu Lys Lys Arg Glu Phe Glu Ala Ala Pro Ala
271 aaa act tat gct gat ttc ctt cgt gct tat caa agt tgg aac act ttt
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RAW SEQUENCE LISTING DATE: 04/06/2006
PATENT APPLICATION: US/10/572,189
TIME: 10:45:48

Input Set : A:\Sequence Listing (13111-00033-US).txt

Output Set: N:\CRF4\04062006\J572189.raw

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273	65					70					75					80		
275	gtt	aat	cct	gac	aga	CCC	aat	ttg	aaa	aag	cgt	gag	ttt	gaa	gct	gcc	288	
276	Val	Asn	Pro	Asp	Arg	Pro	Asn	Leu	Lys	Lys	Arg	Glu	Phe	Glu	Ala	Ala		
277					85					90					95			
279	cca	gag	aag	agt	tat	gct	gat	ttc	ctt	cgt	gct	tac	cat	agt	tgg	aac	336	
280	Pro	Glu	Lys	Ser	Tyr	Ala	Asp	Phe	Leu	Arg	Ala	Tyr	His	Ser	Trp	Asn		
281				100					105					110				
283	act	ttt	gtt	aat	cct	gac	aga	CCC	aac	ttg	aaa	aag	cgc	gaa	ttc	gaa	384	
284	Thr	Phe	Val	Asn	Pro	Asp	Arg	Pro	Asn	Leu	Lys	Lys	Arg	Glu	Phe	Glu		
285			115					120					125					
	gct	-		-				-									432	
288	Ala	Ala	Pro	Ala	Lys	Thr	Tyr	Ala	Asp	Phe	Leu	Arg	Ala	Tyr	Gln	Ser		
289		130					135					140						
	tgg																480	
292	$\mathtt{Trp}$	Asn	Thr	Phe	Val	Asn	Pro	Asp	Arg	Pro	Asn	Leu	Lys	Lys	Arg			
	145					150					155					160		
	gaa																528	
	Glu	Glu	Asp	Glu	Glu	Asn	Glu	Glu	Glu	Asp	Glu	Glu	Tyr	Tyr	_	Phe		
297					165					170					175			
	ctt	_				_		_								_	576	
	Leu	Gln	Phe	-	Ile	Met	Thr	Val		Glu	Asn	Ser	Thr		Thr	Asp		
301				180					185					190				
	gtc				_				-	taa							606	
	Val	Asn		Thr	Ala	Lys	Phe		Ser									
305			195		. 0			200										
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					)1													
	.2 <212> TYPE: PRT .4 <213> ORGANISM:																	
						LZOS	accha	aromy	yces	pom	oe .							
	<40					••• •	-1		•	<b>.</b>	D1	0			n 1 -	77-		•
	Met	ьys	ше	Thr		vai	тте	Ala	Leu		Pne	ser	ьeu	Ala		Ala		
321		D	<b>-</b> 1-	D	5	77-	7	D	<b>~1</b>	10	17-7	Q	77-7	O	15	C		
	Ser	Pro	шe		vai	Ala	Asp	PIO	GIY	Val	vaı	ser	vaı	ser	ьуѕ	ser		
325									2 -									
320	TT	77-	7.00	20 Dho	T 011	7~~	7707	The east	25 Cln	Com				30	ח ד ת	7 cm		
220	Tyr	Ala	_		Leu	Arg	Val	_	_	Ser			Thr	30	Ala	Asn		
329	_		35	Phe		_		40	Gln		Trp	Asn	Thr 45	30 Phe				
332	Tyr Pro	Asp	35	Phe		_	Lys	40	Gln		Trp	Asn Glu	Thr 45	30 Phe				
332 333	Pro	Asp 50	35 Arg	Phe Pro	Asn	Leu	Lys 55	40 Lys	Gln Arg	Glu	Trp Phe	Asn Glu 60	Thr 45 Ala	30 Phe Ala	Pro	Ala		
332 333 336	Pro Lys	Asp 50	35 Arg	Phe Pro	Asn	Leu Phe	Lys 55	40 Lys	Gln Arg	Glu	Trp Phe Gln	Asn Glu 60	Thr 45 Ala	30 Phe Ala	Pro	Ala Phe		
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332 333 336 337 340	Pro Lys	Asp 50 Thr	35 Arg Tyr	Phe Pro Ala	Asn Asp Arg	Leu Phe 70	Lys 55 Leu	40 Lys Arg	Gln Arg Ala	Glu Tyr Lys	Trp Phe Gln 75	Asn Glu 60 Ser	Thr 45 Ala Trp	30 Phe Ala Asn	Pro Thr Ala	Ala Phe 80		
332 333 336 337 340 341	Pro Lys 65 Val	Asp 50 Thr Asn	35 Arg Tyr Pro	Phe Pro Ala Asp	Asn Asp Arg 85	Leu Phe 70 Pro	Lys 55 Leu Asn	40 Lys Arg Leu	Gln Arg Ala Lys	Glu Tyr Lys 90	Trp Phe Gln 75 Arg	Asn Glu 60 Ser Glu	Thr 45 Ala Trp	30 Phe Ala Asn Glu	Pro Thr Ala 95	Ala Phe 80 Ala		
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332 333 336 337 340 341 344 345 348	Pro Lys 65 Val	Asp 50 Thr Asn Glu	35 Arg Tyr Pro Lys Val	Phe Pro Ala Asp Ser 100	Asn Asp Arg 85 Tyr	Leu Phe 70 Pro	Lys 55 Leu Asn Asp	40 Lys Arg Leu Phe Pro	Gln Arg Ala Lys Leu 105	Glu Tyr Lys 90 Arg	Trp Phe Gln 75 Arg	Asn Glu 60 Ser Glu Tyr	Thr 45 Ala Trp Phe His	30 Phe Ala Asn Glu Ser 110	Pro Thr Ala 95 Trp	Ala Phe 80 Ala Asn		
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RAW SEQUENCE LISTING
                                                                                                                        DATE: 04/06/2006
                                  PATENT APPLICATION: US/10/572,189
                                                                                                                        TIME: 10:45:48
                                  Input Set : A:\Sequence Listing (13111-00033-US).txt
                                  Output Set: N:\CRF4\04062006\J572189.raw
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360 Glu Glu Asp Glu Glu Asn Glu Glu Glu Asp Glu Glu Tyr Tyr Arg Phe
                                          165
                                                                                      170
364 Leu Gln Phe Tyr Ile Met Thr Val Pro Glu Asn Ser Thr Ile Thr Asp
                                                                                                              gradid Response.

gradid of the Source of
Explain the Explai
                                  180
                                                                             185
365
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398 1
400 cca tac gat gtt cct gac tat gcg ggc tat ccc tat gac gtc ccg gac
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401 Pro Tyr Asp Val Pro Asp Tyr Ala Gly Tyr Pro Tyr Asp Val Pro Asp
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404 tat gca gga tcc tat cca tat gac gtt cca gat tac gct gct cag tgc
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405 Tyr Ala Gly Ser Tyr Pro Tyr Asp Val Pro Asp Tyr Ala Ala Gln Cys
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425 <223> OTHER INFORMATION to be completed
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DATE: 04/06/2006 VERIFICATION SUMMARY PATENT APPLICATION: US/10/572,189 TIME: 10:45:49

Input Set : A:\Sequence Listing (13111-00033-US).txt

Output Set: N:\CRF4\04062006\J572189.raw

L:10 M:270 C: Current Application Number differs, Replaced Current Application No L:10 M:271 C: Current Filing Date differs, Replaced Current Filing Date